



ODYSSEY XPRESS BROKER GATEWAY FAQ

1. WHAT IS THE ODYSSEY XPRESS GATEWAY?

The Odyssey Xpress Broker Gateway is an application built by Odyssey for **you** – the brokerage and financial institution community.

The Gateway allows a firm's authorized users to obtain the following **on-demand, 24/7**, for registered securityholders:

- Confirmation of outstanding free-trading DRS positions
- Confirmation of any applicable stops or restrictions on restricted DRS positions
- Current DRS Confirmation Statements
- Confirmation of a physical certificate's validity and any applicable stops or restrictions

2. WHY DID WE CREATE THE GATEWAY?

To make it **simple, fast, and easy** for you to confirm if a position or certificate is outstanding and available for transfer or deposit.

This will allow you to obtain the information you need on-demand with a few clicks and zero wait times.

3. HOW DO YOU REGISTER TO THE GATEWAY?

Go to www.odysseycontact.com and select "I am an Employee at a Brokerage Firm or Securities Dealer."

Then select "Confirm stops or restrictions on a certificate or validity of a DRS Statement" and fill out the registration form.

Odyssey will then set up your firm's account and send your firm's Access Coordinator with a temporary password and login credentials. Your firm's Access Coordinator can then log into the Gateway and add users for that institution.

4. CAN I HAVE DIFFERENT ACCESS LEVELS FOR MY TEAM?

There are two levels of access: **Authorized User** and **Access Coordinator**.

An **Authorized User** can:

- Verify certificates and DRS positions to confirm status and restrictions
- Download Certificate and DRS Confirmation statements

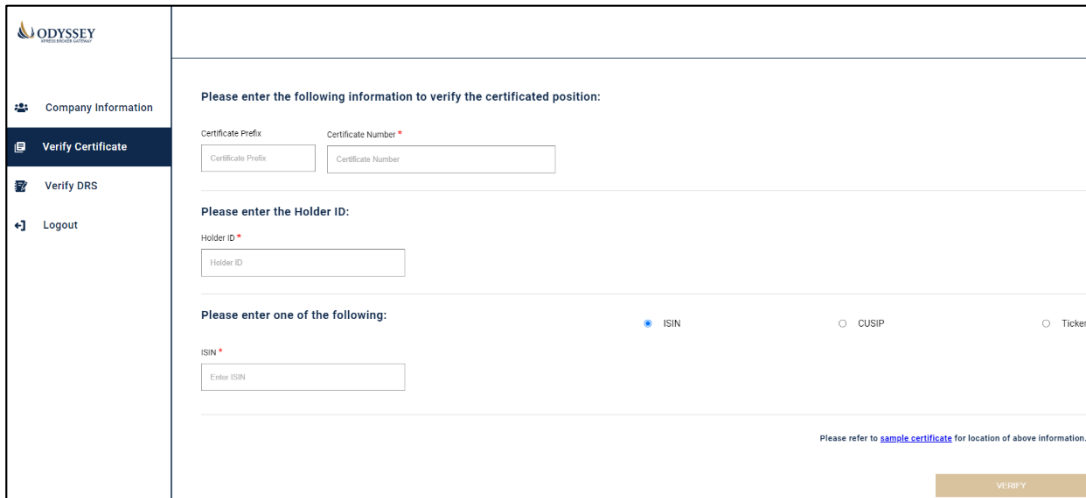
An **Access Coordinator** can:

- Register new Access Coordinators and Authorized Users
- Remove Access Coordinators and Authorized Users
- Do everything that an Authorized User can do

5. HOW DOES THE GATEWAY FUNCTION?

To verify a certificate:

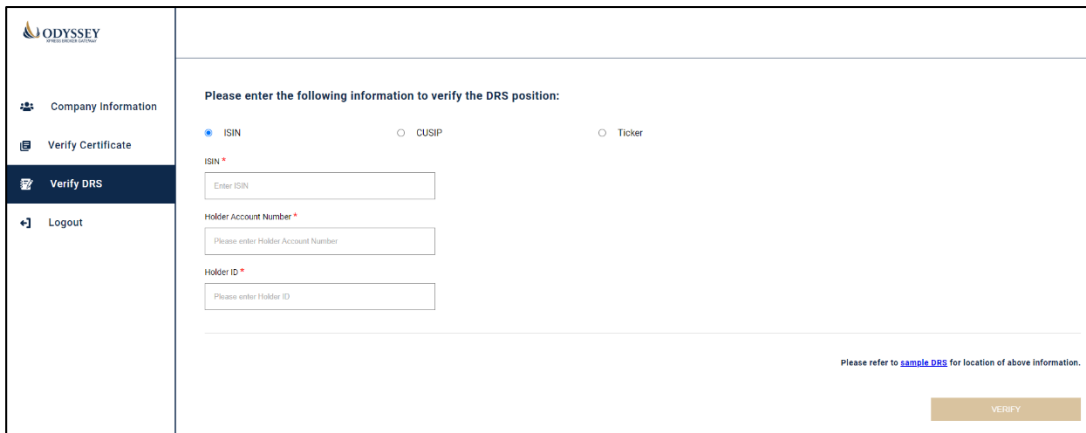
- Enter the certificate prefix and number
- Enter the UID (Holder ID)
- Enter the ISIN, CUSIP, or Ticker
- Click **VERIFY**



The screenshot shows the Odyssey website interface. On the left is a navigation menu with options: Company Information, Verify Certificate (highlighted), Verify DRS, and Logout. The main content area is titled "Please enter the following information to verify the certificated position:". It contains two input fields: "Certificate Prefix" and "Certificate Number *". Below these is a section for "Please enter the Holder ID:" with a "Holder ID *" input field. Further down, there is a section "Please enter one of the following:" with radio buttons for "ISIN" (selected), "CUSIP", and "Ticker". An "ISIN *" input field is provided below. At the bottom right, there is a "VERIFY" button and a note: "Please refer to [samola.certificate](#) for location of above information."

To verify a DRS statement:

- Enter the ISIN, CUSIP or Ticker (Trading Symbol or Issue Code)
- Enter the Holder Account Number
- Enter the UID shown on the latest DRS Statement
- Click **VERIFY**



The screenshot shows the Odyssey website interface. On the left is a navigation menu with options: Company Information, Verify Certificate, Verify DRS (highlighted), and Logout. The main content area is titled "Please enter the following information to verify the DRS position:". It contains radio buttons for "ISIN" (selected), "CUSIP", and "Ticker". Below these are three input fields: "ISIN *" (with "Enter ISIN" placeholder), "Holder Account Number *" (with "Please enter Holder Account Number" placeholder), and "Holder ID *" (with "Please enter Holder ID" placeholder). At the bottom right, there is a "VERIFY" button and a note: "Please refer to [samola.DRS](#) for location of above information."



If the certificate or DRS details match our records, a confirmation screen will appear, and a copy of the report may be downloaded. If there is no match, the user will be notified. **Fees will only be applied at this stage if a match is found.**

ODYSSEY
XPRESS BROKER GATEWAY

CERTIFICATE VERIFICATION

Date and Time: July 19, 2022 - 5:03pm PDT

Firm: Haywood Securities Inc.
Username: Lisa Scotland

Issue Name: ABC Corporation Common Shares
ISIN if applicable: CA1234567890
Certificate Number: ZQ100010
Holder Registration Line 1: John Smith &
Jane Smith JT TEN
Number of Securities: 1,000
Issue Date: November 5, 2021
Cancel Date (if applicable):

Stops and Restrictions*:
1933 ACT, UNLESS-SNOV22

* If the Stops and Restrictions field is blank, there are currently no stops or restrictions on the referenced certificate number. If there are any restrictions noted above beyond the restrictive legends printed on the reverse of the certificate, these are stop transfer orders. For more information on stop transfers noted above, please submit your inquiry at www.odysseycontact.com.

Fee Charged: \$

ODYSSEY

We're sorry.
The information you entered does not match
our records. Please review and re-enter the
information to try again.

RE-ENTER DETAILS

6. HOW CAN WE TRUST THE DATA?

The data used to provide confirmations is from our live database and includes up-to-the-minute information. This is the same information you receive when you call the Shareholder Services team or submit a request via www.odysseycontact.com.

We also maintain an audit trail of all user access and successful verifications provided.

7. WHAT DOES IT COST TO USE THE GATEWAY?

The standard **\$10 fee** will be applied for each confirmation. Beginning January 1, 2024, this is a discounted charge from what is incurred when you contact us via telephone, email, or www.odysseycontact.com.

The fee will not be charged when a verification request is unsuccessful.

Charges will be billed on a quarterly basis and emailed to the Access Coordinator(s).